

Confidential Financial Review (Fact Find) Pre-Meeting Information

Please complete the following details prior to your meeting with your Financial Adviser. If you have any questions or if you are unable to answer any questions please leave these until your meeting when your Adviser will be happy to go through them with you.

Client Details				
	Self		Partner	
Title / Sex		M / F		M / F
Forename(s)				
Surname				
Known as / Salutation				
Date of Birth				
Marital Status				
National Ins No. / UK Resident		Yes / No		Yes / No
Home Address (Only complete 'Partner' details if different.)				
Time at current address in years & months (If less than 3 years, give details of all previous addresses for 3 years on separate Notes page.)				
Home Telephone Number				
Mobile Number				
E-mail address				
Preferred method of contact				
Do you foresee any changes to your personal circumstances?	Yes / No		Yes / No	
Are you in good health?	Yes / No		Yes / No	
Do you have any medical conditions? If 'Yes', give full details on Notes page.	Yes / No		Yes / No	
Have you smoked in the last 12 months?	Yes / No		Yes / No	
Employment Status	Employed / Self-Employed / Retired / Other		Employed / Self-Employed / Retired / Other	
At what age do you intend to retire?				
Do you have any religious beliefs that would affect financial planning? If 'Yes', give details on Notes page.	Yes / No		Yes / No	

Children and other Dependants (Partner / Grandchildren / Elderly dependants etc.)				
Do you have any dependants?			Yes / No	
Name	Date of Birth	Relationship	Financially dependent?	Sex
1			Yes / No	M / F
2			Yes / No	M / F
3			Yes / No	M / F

Client Name
Date

Occupation Details		
	Self	Partner
Main Occupation		
Job title of main occupation		
Secondary occupation		
Secondary job title		
Employer / Business Name		
Employer / Business Address		
Employer's Tel. Number / Co. Mobile No. (See Note 1 below)		
Fax Number		
E-mail address at work		
Date started employment / Business?		
Gross Income per annum / Net Profit (if self-employed)	£	£
Gross Benefits in Kind (P11d)	£	£
Do you intend to change jobs?	Yes / No	Yes / No

Income Details			
	Self	Partner	Joint
Net monthly "take-home pay" / Net Drawings (if self-employed)	£	£	£
Net monthly part-time/secondary occupation income	£	£	£
Net monthly guaranteed commission / bonus / overtime	£	£	£
Net monthly pension income	£	£	£
Net monthly investment income	£	£	£
Other net monthly income	£	£	£
Total Net Monthly income	£	£	£
Highest rate of income tax?		%	%
Do you see your income changing in the near future?	Yes / No	Yes / No	Yes / No
Pay / Pension review date			

Client Name
Date

Assets	Self	Partner	Joint
Home (Primary Residence) (Note 1)	£	£	£
Other Properties	£	£	£
Contents & Personal Effects	£	£	£
Personally Owned Vehicles	£	£	£
Business Interests	£	£	£
Current Account Balance	£	£	£
Building Society & Deposits	£	£	£
TESSAs / TESSA ISA	£	£	£
PEPs	£	£	£
Cash ISAs	£	£	£
Equity / Insurance ISAs	£	£	£
Investment Bonds	£	£	£
Unit / Investment Trusts	£	£	£
Stock-Market Shares	£	£	£
Loan Stocks & Gilts	£	£	£
Other Assets (including National Savings)	£	£	£
Total Assets (TA)	£	£	£

Liabilities			
Mortgages	£	£	£
Other Loan Amounts	£	£	£
Credit Card Balances	£	£	£
Store Card Balances	£	£	£
Overdraft Balance	£	£	£
Total Liabilities (TL)	£	£	£

Summary of Assets & Liabilities	
Total Assets (TA)	£
Total Liabilities (TL)	£
Net Asset Position	£
Do you expect any of these to change in the near future? If 'Yes', please provide details on separate Notes page.	Yes / No
Do you have loan protection on your liabilities? If 'Yes', please provide details on separate Notes page.	Yes / No

Notes: - (1) Is this Tenancy in common / Joint tenancy (Joint property / Common property in Scotland) / Common hold